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# AUCTION RATE SECURITIES SETTLEMENTS WITH CITIGROUP AND UBS TO PROVIDE \$30 BILLION IN LIQUIDITY: SEC CIVIL COMPLAINTS: V. CITIGROUP GLOBAL MARKETS AND V. UBS SECURITIES AND UBS FINANCIAL

### Auction Rate Securities Settlements With Citigroup And UBS To Provide \$30 Billion In Liquidity

SEC Civil Complaints v. Citigroup Global Markets Inc. and UBS

And v. UBS Securities And UBS Financial

The SEC settled settlements December 11 with Citigroup Global Markets Inc. and UBS Securities LLC and UBS Financial Services Inc., that will provide nearly \$30 billion to customers who invested in auction rate securities below the market for these securities from 2007 through 2010.

Prepared by Backgroundnow.com Staff

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